Resources

* Bank Secrecy Act page on FinCEN’s website
	+ [https://www.fincen.gov/resources/statutes-regulations/chapter-x](https://nam11.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.fincen.gov%2Fresources%2Fstatutes-regulations%2Fchapter-x&data=05%7C01%7CBeth.Taylor%40claconnect.com%7C9208c83f5f98462a814008db99dc9a5d%7C4aaa468e93ba4ee3ab9f6a247aa3ade0%7C0%7C0%7C638272945337428634%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=x4ifJbjyeQEAdi9ALv7fN0et7zP2ff0LvmQWbcdGbfw%3D&reserved=0)
* Enforcement Actions / Penalties
	+ [https://www.fincen.gov/news-room/enforcement-actions](https://nam11.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.fincen.gov%2Fnews-room%2Fenforcement-actions&data=05%7C01%7CBeth.Taylor%40claconnect.com%7C9208c83f5f98462a814008db99dc9a5d%7C4aaa468e93ba4ee3ab9f6a247aa3ade0%7C0%7C0%7C638272945337584875%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=8%2FP2pCFZdah8dFrM9IP8%2F5Oa%2FkGGoA4wvGmQKP1vuwg%3D&reserved=0)
* Final CDD Rule
	+ [CDD Final Rule | FinCEN.gov](https://nam11.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.fincen.gov%2Fresources%2Fstatutes-and-regulations%2Fcdd-final-rule&data=05%7C01%7CBeth.Taylor%40claconnect.com%7C9208c83f5f98462a814008db99dc9a5d%7C4aaa468e93ba4ee3ab9f6a247aa3ade0%7C0%7C0%7C638272945337584875%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=XALcfoCVSKWMDVlxcUe6npQiHIkq5IDw3o%2FCgv3V7KA%3D&reserved=0)
* SARs Reported
	+ [https://www.fincen.gov/reports/sar-stats](https://nam11.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.fincen.gov%2Freports%2Fsar-stats&data=05%7C01%7CBeth.Taylor%40claconnect.com%7C9208c83f5f98462a814008db99dc9a5d%7C4aaa468e93ba4ee3ab9f6a247aa3ade0%7C0%7C0%7C638272945337584875%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=mc%2BHnAAxurihKCpGVs1dizOEOiImqYa%2BgWap0hY74m4%3D&reserved=0)
* FAQs for CTRs and SARs
	+ [https://www.fincen.gov/frequently-asked-questions-regarding-fincen-currency-transaction-report-ctr](https://nam11.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.fincen.gov%2Ffrequently-asked-questions-regarding-fincen-currency-transaction-report-ctr&data=05%7C01%7CBeth.Taylor%40claconnect.com%7C9208c83f5f98462a814008db99dc9a5d%7C4aaa468e93ba4ee3ab9f6a247aa3ade0%7C0%7C0%7C638272945337584875%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=qA7nZ1rOJqySeJ4URc8HoWaoca6cnsCyeOSZIAY3eHA%3D&reserved=0)
	+ [https://www.fincen.gov/frequently-asked-questions-regarding-fincen-suspicious-activity-report-sar](https://nam11.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.fincen.gov%2Ffrequently-asked-questions-regarding-fincen-suspicious-activity-report-sar&data=05%7C01%7CBeth.Taylor%40claconnect.com%7C9208c83f5f98462a814008db99dc9a5d%7C4aaa468e93ba4ee3ab9f6a247aa3ade0%7C0%7C0%7C638272945337584875%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=wSQWkw0zBef36%2FxpOMTiZwA4yMimUdcMLjpDw6QPhdo%3D&reserved=0)
* Reportable transactions for a CTR Exempt business (letter H)
	+ [Guidance on Determining Eligibility for Exemption from Currency Transaction Reporting Requirements | FinCEN.gov](https://nam11.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.fincen.gov%2Fresources%2Fstatutes-regulations%2Fguidance%2Fguidance-determining-eligibility-exemption-currency&data=05%7C01%7CBeth.Taylor%40claconnect.com%7C9208c83f5f98462a814008db99dc9a5d%7C4aaa468e93ba4ee3ab9f6a247aa3ade0%7C0%7C0%7C638272945337584875%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=%2FI3mIcQYaHwv6RDRhTUeDCSY4KQZ2m1YPbnP7FCgy10%3D&reserved=0)
* FinCEN CTR and SAR Electronic Filing Instructions
	+ [https://www.fincen.gov/sites/default/files/shared/FinCEN%20SAR%20ElectronicFilingInstructions-%20Stand%20Alone%20doc.pdf](https://nam11.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.fincen.gov%2Fsites%2Fdefault%2Ffiles%2Fshared%2FFinCEN%2520SAR%2520ElectronicFilingInstructions-%2520Stand%2520Alone%2520doc.pdf&data=05%7C01%7CBeth.Taylor%40claconnect.com%7C9208c83f5f98462a814008db99dc9a5d%7C4aaa468e93ba4ee3ab9f6a247aa3ade0%7C0%7C0%7C638272945337584875%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=hSR%2FrsjMIwcrK7WA1ucSMmW0cettGxaF7GQG7VAY%2Bvs%3D&reserved=0)
	+ [https://www.fincen.gov/sites/default/files/shared/FinCEN%20CTR%20ElectronicFilingInstructions%20-%20Stand%20Alone%20doc.pdf](https://nam11.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.fincen.gov%2Fsites%2Fdefault%2Ffiles%2Fshared%2FFinCEN%2520CTR%2520ElectronicFilingInstructions%2520-%2520Stand%2520Alone%2520doc.pdf&data=05%7C01%7CBeth.Taylor%40claconnect.com%7C9208c83f5f98462a814008db99dc9a5d%7C4aaa468e93ba4ee3ab9f6a247aa3ade0%7C0%7C0%7C638272945337584875%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=0B6jJ%2BsZ%2ByFyyt8w3YYEn7bZJEqgzcHQF%2BMaCM0n%2F%2Fs%3D&reserved=0)
* HIDTA/HIFCA
	+ [https://www.hidtaprogram.org/](https://nam11.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.hidtaprogram.org%2F&data=05%7C01%7CBeth.Taylor%40claconnect.com%7C9208c83f5f98462a814008db99dc9a5d%7C4aaa468e93ba4ee3ab9f6a247aa3ade0%7C0%7C0%7C638272945337584875%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=svX7m6ChbJkcIXmeHreWcOo6wBdgZUBwxuRYAveFYec%3D&reserved=0)
	+ [https://www.fincen.gov/hifca-regional-map](https://nam11.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.fincen.gov%2Fhifca-regional-map&data=05%7C01%7CBeth.Taylor%40claconnect.com%7C9208c83f5f98462a814008db99dc9a5d%7C4aaa468e93ba4ee3ab9f6a247aa3ade0%7C0%7C0%7C638272945337584875%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=XYPMoN%2FG8gnTgn7ZGsFMCilG6O67SHWuCXyQB%2BxzJ5Y%3D&reserved=0)
* OFAC– Sanctions Programs and Information on U.S. Department of the Treasury’s website
	+ [https://www.treasury.gov/resource-center/sanctions/Programs/Pages/Programs.aspx](https://nam11.safelinks.protection.outlook.com/?url=https%3A%2F%2Fwww.treasury.gov%2Fresource-center%2Fsanctions%2FPrograms%2FPages%2FPrograms.aspx&data=05%7C01%7CBeth.Taylor%40claconnect.com%7C9208c83f5f98462a814008db99dc9a5d%7C4aaa468e93ba4ee3ab9f6a247aa3ade0%7C0%7C0%7C638272945337584875%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=FVb09IPICl2vZFFd9kSIZPSNA%2F5y1iIFSEUBKY%2BjwRo%3D&reserved=0)
* FFIEC BSA/AML Examination Manual & Appendices
	+ [https://bsaaml.ffiec.gov/manual](https://nam11.safelinks.protection.outlook.com/?url=https%3A%2F%2Fbsaaml.ffiec.gov%2Fmanual&data=05%7C01%7CBeth.Taylor%40claconnect.com%7C9208c83f5f98462a814008db99dc9a5d%7C4aaa468e93ba4ee3ab9f6a247aa3ade0%7C0%7C0%7C638272945337741120%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=Gff5nr85MUhxLDGlZ8NU%2FLeIp%2FN0uKkKyc7vhyYRay0%3D&reserved=0)

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